



0410

2161

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT
RECEIVED

APR 04 2002

Technology Center 2100

In re application : Hong Xu et al.
Application No. : 10/047,467
Filed : January 14, 2002
For : WEIGHTED CREDIT-BASED ARBITRATION USING CREDIT
HISTORY
Attorney's Docket : FJFNX-181XX

Group Art Unit: 2161

I hereby certify that this correspondence is being deposited with the
United States Postal Service as first class mail in an envelope
addressed to: Commissioner for Patents, Washington, D.C. 20231 on
March 28, 2002.

By: James F. Thompson
James F. Thompson
Registration No. 36,699
Attorney for Applicant(s)

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

It is desired to cite for the record in this application the
enclosed references listed on the attached copy of PTO Form #1449. The
paragraph(s) marked below are applicable to this Information Disclosure
Statement.

[X] (1) Pursuant to 37 C.F.R. § 1.97(b)(1) and (2), the attached
Information Disclosure Statement is being filed within three
months of the filing date of the above identified national
application or within three months of the date of entry of the
national stage as set forth in 37 C.F.R. § 1.491 of the above
identified application. Accordingly, applicant(s) believes that
no fee or statement under 37 C.F.R. § 1.97(e) is required.

- ☒ (2) Pursuant to 37 C.F.R. § 1.97(b)(3), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action on the merits. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- ☐ (3) Pursuant to 37 C.F.R. § 1.97(b)(4), applicant(s) believes the attached Information Disclosure Statement is being filed before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Accordingly, applicant(s) believes that no fee or statement under 37 C.F.R. § 1.97(e) is required.
- ☐ (4) Pursuant to 37 C.F.R. § 1.97(c), the attached Information Disclosure Statement is being filed before the mailing date of a final action or a notice of allowance and is accompanied by:
- ☐ a statement under 37 CFR § 1.97(e); or
- ☐ the fee set forth in § 1.17(p).

PETITION UNDER 37 CFR § 1.97(d)

- ☐ (5) Pursuant to 37 CFR § 1.97(d), applicant(s) hereby petitions the Commissioner to consider the attached Information Disclosure Statement which is being filed on or before payment of the issue fee. This petition is accompanied by a statement under 37 C.F.R. § 1.97(e) and the petition fee set forth in 37 C.F.R. § 1.17(p).

STATEMENT UNDER 37 C.F.R. § 1.97(e) (1)

- ☐ (6) The undersigned hereby states that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

STATEMENT UNDER 37 C.F.R. § 1.97(e) (2)

- ☐ (7) The undersigned hereby states that no item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the attached Information Disclosure

Application No. 10/047,467
Filed: January 14, 2002
Group Art Unit: 2161

Statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

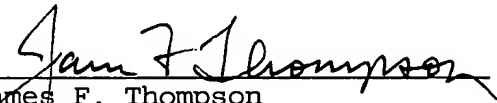
The filing of this Information Disclosure Statement is not a representation by the undersigned as to personal knowledge of the contents of every word or phrase of the material enclosed or that reliance on other suitably trained professionals has not been made.

If a search report of a searching agency is enclosed identifying the nature of the relevance of each document, such a designation is deemed to satisfy 37 C.F.R. § 1.98(a)(3) even if in a foreign language because the codes are the same in all languages. However, applicant(s) does not necessarily adopt the position reflected by that report.

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 23-0804. Triplicate copies of this letter are enclosed.

Respectfully submitted,

HONG XU ET AL.

By: 
James F. Thompson
Registration No. 36,699
Attorney for Applicant(s)

WEINGARTEN, SCHURGIN,
GAGNEBIN & LEOVICI LLP
Ten Post Office Square
Boston, Massachusetts 02109

Telephone: (617) 542-2290
Telecopier: (617) 451-0313

JFT/ad
Enclosure
269781-1

Date: March 28, 2002
Page 1 of 3

RECEIVED
APR 04 2002
Technology Center 2100

Form PTO-1449
(REV. 07/01)

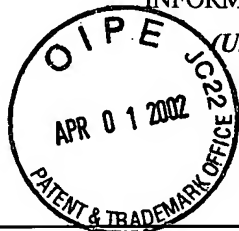
U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
FJFNX-181XX

APPLICATION NO.
10/047,467

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



APPLICANT:

Hong Xu et al.

FILING DATE

January 14, 2002

GROUP

2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
	6,229,789	05/08/01	Simpson et al.	370	235	
	6,172,963	01/09/01	Larsson et al.	370	229	
	6,118,791	09/12/00	Fichou et al.	370	468	
	6,104,724	8/15/00	Upp	370	458	
	6,084,856	07/04/00	Simmons et al.	370	235	
	6,044,061	03/28/00	Aybay et al.	370	230	
	6,014,384	01/11/00	Weberhofer	370	455	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

	Fourmaux et al., "Multicast for RSVP Switching – An Extended Multicast Model with QoS for Label Swapping in an IP over ATM Environment", citeseer.nj.nec.com/14326.html, pp. 1-21, (1998).
	Katevenis et al., "Weighted Round-Robin Scheduler using Per-Class Urgency Counters", http://www.ics.forth.gr/proj/arch-visit/muqpro/classSch.html , pp. 1-4, (1997).
	Romano et al., "Integrated QoS Architecture for IP Switching", citeseer.nj.nec.com/romano97/integrated.html, pp. 1- , (1997).
	Zukerman et al., "A Framework for Real-Time Measurement-Based Connection Admission Control in Multi-Service Networks", Proceeding of IEEE, Global Telecommunications Conference Globe GM, Vol. 5 of 6, pp. 2983-2988, (1998).

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date: March 28, 2002
Page 2 of 3

RECEIVED
APR 04 2002
Technology Center 2100

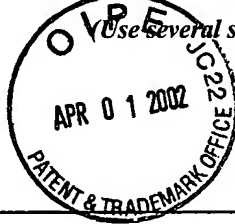
Form PTO-1449
(REV. 07/01)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
FJFNX-181XX

APPLICATION NO.
10/047,467

INFORMATION DISCLOSURE CITATION



APPLICANT:

Hong Xu et al.

FILING DATE

January 14, 2002

GROUP

2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
	6,011,776	01/04/00	Berthaud et al.	370	232	
	6,011,775	01/04/00	Bonomi et al.	370	230	
	5,852,602	12/22/98	Sugawara	370	231	
	5,805,577	09/08/98	Jain et al.	370	234	
	5,793,747	08/11/98	Kline	370	230	
	5,710,549	01/20/98	Horst et al.	340	825	
	5,548,581	08/20/96	Makrucki	370	17	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date: March 28, 2002
Page 3 of 3

RECEIVED
APR 04 2002
Technology Center 2100

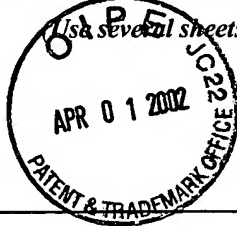
Form PTO-1449
(REV. 07/01)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.
FJFNX-181XX

APPLICATION NO.
10/047,467

INFORMATION DISCLOSURE CITATION



APPLICANT:

Hong Xu et al.

FILING DATE

January 14, 2002

GROUP

2161

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE*
	5,479,407	12/26/95	Ko et al.	370	94.1	
	5,473,604	12/05/95	Lorenz et al.	370	60	
	5,231,633	07/27/93	Hluchyj et al.	370	94.1	

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.